



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

S. FUKUDA, et al.

Application No.:

10/649,636

Filed:

August 28, 2003

For:

STORAGE MANAGEMENT SYSTEM

Art Unit:

Unknown

Examiner:

Unknown

## **INFORMATION DISCLOSURE STATEMENT** UNDER 37 CFR §§ 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 November 8, 2004

Sir:

In the matter of the above-identified application, applicants are submitting herewith copies of the documents listed in the attached form PTO/SB/08A for the Examiner's consideration.

This Information Disclosure Statement is being submitted, on information and belief, before the mailing date of a first office action on the merits.

To the extent the documents listed on the attached form PTO/SB/08A are not in the English language, the requirement of 37 CFR '1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the documents, OR English language abstracts. With regard to JP 2003-122508, it is noted that this corresponds to U.S. Patent Application Publication US2003/0074528A1, included herewith. In addition, a number of listed documents are also discussed in the specification.

Application No.: 10649,636

Art Unit: Unknown

9

Docket No.: 520.43064X00

Page 2

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Docket No. 520.43064X00) please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Gregory E. Montene Reg. No. 28,141

GEM/dlt

1300 North Seventeenth Street, Suite 1800

Arlington, Virginia 22209 Telephone: (703) 312-6600 Facsimile: (703) 312-6666

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwolk Restriction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/649,636 INFORMATION DISCLOSURE Filing Date August 28, 2003 STATEMENT BY APPLICANT S. FUKUDA, et al. First Named Inventor Unknown **Art Unit** Unknown (use as many sheets as necessary) **Examiner Name Attorney Docket Number** 520.43064X00 Sheet of 1 1

0 8 2004

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6.430.611B1	08-2002	Kita et al.	
		6.535.954B2	03-2003	Obara et al.	
		US 2003/0074528 A1	04-2003	Soeiima et al.	

Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		JP 2003-122508 Z	04-2003		Corresponds to US2003/0074528A1	
		JP 2001-184175	07-2001	NEC CORP		Abs
		.JP 10-320126	12-1998	Fuiitsu Ltd.		Abs
						-

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	-				

ine	-		
Examiner		Date	
Signature		Considered	_

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.